

**VALMON SECURITIES LIMITED**

(MEMBER OF THE NIGERIAN STOCK EXCHANGE)

PARTICIPATING INSTITUTION OF THE NASD OTC SECURITIES EXCHANGE

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**ESTATE ACCOUNT OPENING / ACCOUNT UPDATE**

**DOCUMENTS REQUIRED FOR KYC**

1. **Valid Government-issued ID** (National ID Card, International Passport, or Driver’s License) – for each Administrator/Executor
2. **Proof of Address** (Utility Bill, Bank Statement – not older than 3 months) – for each Administrator/Executor
3. **Passport Photograph** (Recent, colored, and clear) – for each Administrator/Executor
4. **Bank Verification Number (BVN)** – for each Administrator/Executor
5. **Death Certificate of the Deceased**
6. **Court Probate Letter or Letter of Administration**
7. **Tax Identification Number (TIN)**

**SECTION A: ESTATE DETAILS**

Affix Passport Photo Here for Each Administrator/Executor (Size: 2x2 inches)

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1. **Estate Name**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. **Name of Administrator(s)/Executor(s)**:
a. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
b. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
c. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. **Estate Address**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

 City: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ State: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Phone Number (Administrator/Executor)**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. **Email Address (Administrator/Executor)**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. **Deceased's Full Name**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
4. **Date of Death**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
5. **Court of Probate Issuance**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
6. **Relationship to Deceased**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
7. **Administrator's BVN**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
8. **TIN (Tax Identification Number)**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
9. **NIN 1\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_2\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_3\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**SECTION B: BANK ACCOUNT DETAILS**

 **Bank Name**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **Account Name**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

 **Account Number**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **(BVN)**: 1) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

 **(BVN)**: 2) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **(BVN)**: 3) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**SECTION C: INVESTMENT PROFILE**

1. **Investment Objective**:
☐ Capital Appreciation
☐ Income Generation
☐ Preservation of Capital
2. **Risk Tolerance**:
☐ Low ☐ Medium ☐ High
3. **Investment Knowledge**:
☐ Novice ☐ Intermediate ☐ Advanced
4. **Preferred Investment Products**:
☐ Equities ☐ Bonds ☐ Mutual Funds ☐ Others (Specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**SECTION D: DECLARATION**

 I/We, the undersigned, hereby declare that the information provided above is true and accurate to the

 best of my/our knowledge. I/We agree to comply with the terms and conditions of the stockbroker.

 **Name/Signature (Administrator/Executor 1)**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Sign: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
 **Name/Signature (Administrator/Executor 2)**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Sign: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
 **Name/Signature (Administrator/Executor 3)**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Sign: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**FOR OFFICIAL USE ONLY**

1. **Account Opening Date**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. **Client Identification Number (CIN)**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. **Account Manager**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Risk Manager Compliance Officer Head of Operations**